# **MODEL CODE OF CONDUCT**

# Getting Started on a Code of Conduct for Your Council / Board

Produced by the Working Group on Responsible Conduct

August 2018; updated April 2021







# **Table of Contents**

INTRODUCTION & EXPLANATORY NOTES		3
Wh	nat is a Code of Conduct?	3
Wh	nat is the purpose of this document?	3
Wh	nat are some considerations in developing and using a Code of Conduct?	4
MODEL CODE OF CONDUCT		6
A.	INTRODUCTION	6
В.	HOW TO APPLY AND INTERPRET THIS CODE OF CONDUCT	6
C.	FOUNDATIONAL PRINCIPLES OF RESPONSIBLE CONDUCT	7
D.	OPTIONAL: VALUE STATEMENTS	8
Ε.	STANDARDS OF CONDUCT	8
F.	OPTIONAL: ADDITIONAL PROVISIONS	11
G.	OPTIONAL: ADDITIONAL POLICIES	. 11

The Working Group on Responsible Conduct is a joint initiative between the Union of BC Municipalities, the Local Government Management Association, and the Ministry of Municipal Affairs. The Group was formed to undertake collaborative research and policy work around issues of responsible conduct of local government elected officials.

# INTRODUCTION & EXPLANATORY NOTES

# What is a Code of Conduct?

- A Code of Conduct is a written document that sets shared expectations for conduct or behaviour. A local
  government council or board can adopt a Code of Conduct to establish shared expectations for how
  members should conduct themselves while carrying out their responsibilities and in their work as a
  collective decision-making body for their community.
- Responsible conduct of elected officials is not optional; it is essential to good governance. Responsible
  conduct is grounded in conducting oneself according to principles such as honesty and integrity, and in a
  way that furthers a local government's ability to provide good governance to their community (e.g.
  governing in a way that is transparent, ethical, accountable, respectful of the rule of law, collaborative,
  effective, and efficient).
- A Code of Conduct is one tool that can be used by a local government council or board to promote or further responsible conduct. See the <u>Forging the Path to Responsible Conduct in Your Local Government</u> guide for complementary tools.

# What is the purpose of this document?

- The purpose of this document is to provide local government council or board members with a model Code of Conduct which establishes a set of principles and general standards of conduct that can be used as a starting point to develop their own Code of Conduct.
- This model Code of Conduct may also be useful for councils or boards who already have a Code of Conduct in place, but wish to review or refresh the document.
- The Working Group on Responsible Conduct has also developed a "Companion Guide" to accompany this document that provides discussion questions, things to keep in mind, and other tips to facilitate a council's or board's conversation in developing a Code of Conduct.
- The general standards of conduct set out in this model Code of Conduct reflect the foundational principles
  of integrity, respect, accountability, and leadership and collaboration.<sup>1</sup> Every Code of Conduct should be
  built on these key foundational principles.
- Councils or boards may choose to customize and expand on the general standards of conduct provided in this model Code of Conduct by:
  - Adding examples of specific behaviours or other details to further elaborate on the standards of conduct that are provided;
  - o Including additional standards of conduct that address topics of importance to the council or board and which are not directly dealt with by the standards of conduct already provided;

<sup>&</sup>lt;sup>1</sup> The Working Group on Responsible Conduct identified four foundational principles that can be used to guide the conduct of local elected officials in B.C. More information about these principles can be found <u>here</u>.

- Including additional provisions in the code of conduct to support compliance or to cover informal resolution processes, formal enforcement processes such as complaints investigation and final resolution, and sanctions; and/or
- o Incorporating, referencing or attaching other policies that are generally related to responsible conduct (such as social media policies), where a council or board feels it is appropriate.

# What are some considerations in developing and using a Code of Conduct?

- In developing a Code of Conduct, council or board members should consider not just the content of the Code of Conduct, but also how to make it meaningful for members, both as individuals and as a collective decision-making body. While there is no 'right' way to develop and use a Code of Conduct, councils or boards should consider the following to maximize the effectiveness of their Code of Conduct:
  - Don't overlook the importance of the process when developing and adopting a Code of Conduct:
     How a Code of Conduct is developed and adopted matters; providing opportunities for council or
     board members to discuss not just the "what" but also the "why" of a Code of Conduct will help
     ensure its effectiveness.
    - To start with, understanding the context for developing and adopting a Code of Conduct is important is the council or board being proactive or have there been particular incidents of concern; does the council or board need to consider its collective "blind spots", such as identifying and airing subconscious assumptions or systemic barriers? Discussing the language and content of the Code of Conduct and how it can best be customized to meet the needs of the council or board and individual members is also important. Discussing shared expectations as a part of the orientation process for newly elected officials, or including the Code of Conduct as an outcome of a strategic planning process (with dedicated follow-up opportunities for development) could be good ways of ensuring a Code of Conduct is adopted in a meaningful way.
  - Make the Code of Conduct meaningful: Finding ways to integrate the Code of Conduct into the council or board's ongoing governance will help ensure that it remains a relevant and effective living document. For instance, some councils or boards may choose to refer to the Code of Conduct at every meeting; others may have a copy included in every agenda package or framed on the wall in the meeting room or placed on the desk of each elected official as a regular point of reference.
  - o Make sure the Code of Conduct is consistent with existing laws and policies: Council or board members may include a variety of topics in their Code of Conduct. Where existing laws or policies deal with topics they choose to include in their Code of Conduct (i.e. privacy legislation; human rights code; human resources policies; common law administrative fairness rules; etc.), they must ensure that their Code of Conduct is consistent with those laws and policies.
  - Offer ongoing advice, education and support: A council or board will also want to consider how members can best be supported in working with their Code of Conduct. This could include, for example, general education around the purpose of Codes of Conduct, opportunities for members to receive specific advice on how the Code of Conduct should be interpreted and applied, as well as other ongoing opportunities for support and education for example, orientation when new members join the council or board to understand not just the words of the Code of Conduct, but the

- values and principles underlying it, or regular debriefings following council or board meetings to discuss how effectively the Code of Conduct guided the discussion.
- Revisit it regularly: Council or board members should approach their Code of Conduct as a living
  document to be reviewed and amended from time to time, to ensure that it remains a relevant and
  effective tool and can adapt as new considerations arise, the law evolves, etc.

# **MODEL CODE OF CONDUCT** <sup>2</sup>

# A. INTRODUCTION

As local elected representatives ("members"), we recognize that responsible conduct is essential to providing good governance for the [city / municipality / regional district / district] of [name of local government].

We further recognize that responsible conduct is based on the foundational principles of integrity, accountability, respect, and leadership and collaboration.

In order to fulfill our obligations and discharge our duties, we are required to conduct ourselves to the highest ethical standards by being an active participant in ensuring that these foundational principles, and the standards of conduct set out below, are followed in all of our dealings with every person, including those with other members, staff, and the public.

# B. HOW TO APPLY AND INTERPRET THIS CODE OF CONDUCT

This Code of Conduct applies to the members of [city / municipality / regional district / district] of [name of local government]. It is each member's individual responsibility to uphold both the letter and the spirit of this Code of Conduct in their dealings with other members, staff, and the public.

Elected officials must conduct themselves in accordance with the law. This Code of Conduct is intended to be developed, interpreted and applied by members in a manner that is consistent with all applicable Federal and Provincial Laws, as well as the bylaws and policies of the local government, the common law and any other legal obligations which apply to members individually or as a collective council or board.

<sup>&</sup>lt;sup>2</sup> Some sections of this Code of Conduct include additional information in a shaded box. This information is for guidance and context only, and is not intended to be included in a local government's Code of Conduct.

# C. FOUNDATIONAL PRINCIPLES OF RESPONSIBLE CONDUCT

# Information about the Foundational Principles:

The foundational principles of integrity, respect, accountability and leadership and collaboration have been identified by the Working Group on Responsible Conduct as being important to promoting and furthering responsible conduct and should be incorporated into every Code of Conduct.

A high-level definition of each foundational principle, along with a general description of the type of conduct that upholds each principle, is provided below. These principles are intended to provide members with a shared understanding of responsible conduct and guide them in fulfilling their roles and responsibilities both as individual elected officials and as a collective council or board. Key standards of conduct are set out in subsequent sections of this model Code of Conduct to provide specific examples of the types of conduct that demonstrate the foundational principles.

These four principles, in conjunction with the key standards of conduct, can be used as a guide for elected officials against which to assess their own conduct.

- 1. *Integrity* means being honest and demonstrating strong ethical principles. Conduct under this principle upholds the public interest, is truthful and honourable.
- 2. Respect means having due regard for others' perspectives, wishes and rights; it also means displaying deference to the offices of local government, and the role of local government in community decision making. Conduct under this principle is demonstrated when a member fosters an environment of trust by demonstrating due regard for the perspectives, wishes and rights of others and an understanding of the role of the local government.
- Accountability means an obligation and willingness to accept responsibility or to account for ones actions. Conduct under this principle is demonstrated when council or board members, individually and collectively, accept responsibility for their actions and decisions.
- 4. Leadership and Collaboration means an ability to lead, listen to, and positively influence others; it also means coming together to create or meet a common goal through collective efforts. Conduct under this principle is demonstrated when a council or board member encourages individuals to work together in pursuit of collective objectives by leading, listening to, and positively influencing others.

# D. OPTIONAL: VALUE STATEMENTS

#### Information about including Value Statements:

A council or board may wish to customize their Code of Conduct to include 'value statements'. These are high-level statements that identify the values that the council or board consider important and feels should be included for context in their Code of Conduct.

A council or board may find the "Companion Guide" to this Code of Conduct useful as they consider how 'value statements' may be incorporated into their own Code of Conduct.

# **E. STANDARDS OF CONDUCT**

# Information about the Standards of Conduct:

The following section provides general standards of conduct that reflect the foundational principles identified above. A council or board can customize their Code of Conduct by including <u>additional</u> standards of conduct, or by <u>expanding</u> on existing standards of conduct to more clearly demonstrate how a member can exemplify responsible conduct.

A council or board may find the "Companion Guide" to this Code of Conduct useful as they consider how these general standards of conduct may be customized to best fit their needs.

**Integrity:** Integrity is demonstrated by the following conduct:

- Members will be truthful, honest, and open in all dealings, including those with other members, staff and the public.
- Members will ensure that their actions are consistent with the shared principles and values collectively agreed to by the council or board.
- Members will follow through on their commitments, correct errors in a timely and transparent manner, and engage in positive communication with the community.
- Members will direct their minds to the merits of the decisions before them, ensuring that they
  act on the basis of relevant information and principles and in consideration of the
  consequences of those decisions.
- Members will behave in a manner that promotes public confidence in all of their dealings.

**Respect**: Respect is demonstrated through the following conduct:

- Members will treat every person with dignity, understanding, and respect.
- Members will show consideration for every person's values, beliefs, experiences and contributions to discussions.
- Members will demonstrate awareness of their own conduct, and consider how their words or actions may be, or may be perceived as, offensive or demeaning.
- Members will not engage in behaviour that is indecent, insulting or abusive. This behaviour
  includes verbal slurs such as racist remarks, unwanted physical contact, or other aggressive
  actions that are harmful or threatening.

Accountability: Accountability is demonstrated through the following conduct:

- Members will be responsible for the decisions that they make and be accountable for their own actions and the actions of the collective council or board.
- Members will listen to and consider the opinions and needs of the community in all decisionmaking, and allow for appropriate opportunities for discourse and feedback.
- Members will carry out their duties in an open and transparent manner so that the public can
  understand the process and rationale used to reach decisions and the reasons for taking
  certain actions.

**Leadership and Collaboration:** Leadership and collaboration is demonstrated through the following conduct:

- Members will behave in a manner that builds public trust and confidence in the local government, including considering the different interests of the people who make up the community.
- Members will consider the issues before them and make decisions as a collective body. As such, members will actively participate in debate about the merits of a decision, but once a decision has been made, all members will recognize the democratic majority, ideally acknowledging its rationale, when articulating their opinions on a decision.
- Members will recognize that debate is an essential part of the democratic process and encourage constructive discourse while empowering other members and staff to provide their perspectives on relevant issues.

- As leaders of their communities, members will calmly face challenges, and provide considered direction on issues they face as part of their roles and responsibilities while empowering their colleagues and staff to do the same.
- Members will recognize, respect and value the distinct roles and responsibilities others play in providing good governance and commit to fostering a positive working relationship with and among other members, staff, and the public.
- Members will recognize the importance of the role of the chair of meetings, and treat that person with respect at all times.

# F. OPTIONAL: ADDITIONAL PROVISIONS

# Information about including Additional Provisions:

A council or board may choose to include additional provisions in their Code of Conduct to support compliance or to set out enforcement processes, including informal resolution, administratively-fair formal processes, and sanctions. Local governments are first encouraged to focus on continuous improvement to foster responsible conduct, maintain good governance and resolve conduct issues informally. Depending on a council's or board's shared understanding of the purpose of its Code of Conduct, formal enforcement processes and sanctions may play a role. A council or board may want to consult the "Companion Guide" for tips and resources for including such additional provisions. In addition, local governments are encouraged to review the "Forging the Path to Responsible Conduct in Your Local Government" quide if considering including such additional provisions.

#### G. OPTIONAL: ADDITIONAL POLICIES

# Information about including Additional Policies:

A council or board may choose to include additional policies as part of their Code of Conduct. These additional policies may be useful in addressing matters of importance that require deeper attention or that are connected to the four foundational principles. Some examples of the types of policies that a council or board could include are provided below.

A council or board may want to consult the "Companion Guide" for tips and resources for including additional policies (e.g. examples of social media policies from particular local governments).

#### **Policies About Communications**

- Use of social media by members.
- How members communicate as representatives of the local government.

# **Policies About Personal Interaction**

- Interactions between members and others, such as the public, staff, bodies appointed by the local government, and other governments and agencies (e.g. respectful workplace policies).
- Roles and responsibilities of staff and elected officials.

# **Policies About How Information is Handled**

- Proper handling and use of information, including information which is confidential or otherwise protected and is made available to members in the conduct of their responsibilities.
- Retention and destruction of records.
- How and when information that was relevant to the decision making process is made publicly available.

# **Policies About Other Matters**

- Creation, use, and retention of the local government's intellectual property.
- Personal use of local government resources.
- Receipt of gifts and personal benefits by members.
- Provision of remuneration, expenses or benefits to members in relation to their duties as members.